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2684

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\*Admitted only in Maryland \*Admitted only in Virginia •Practice Limited to Federal Agencies

February 3, 2004

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Mail Stop: Art Unit 2684

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Re:

U.S. Utility Patent Application

Application No. 09/440,468; Filed: November 15, 1999

Method and Apparatus for Controlling Transmissions in ECEIVED **Communications Systems (As Amended)** 

Inventors:

Febvre et al.

Our Ref:

1487.0150000

FEB 1 0 2004

Technology Center 2600

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Fee Transmittal Form (PTO/SB/17);
- 2. Amendment and Reply Under 37 C.F.R. § 1.111;
- 3. Request to Approve Proposed Drawing Corrections;
- 4. Three Sheets of Drawings (One Replacement Sheet and Two Sheets of Annotated Marked Up Drawings);
- Information Disclosure Statement;
- 6. A listing of one cited document on form PTO-1449;
- 7. Copy of cited document (one document);
- 8. Credit Card Payment Form in the amount of \$180.00 to cover Information Disclosure Statement fee: and
- 9. One Return Postcard.

Sterne, Kessler, Goldstein & Fox PLL.C.: 1100 New York Avenue, NW: Washington, DC 20005: 202.371.2600 f 202.371.2540: www.skgf.com

Commissioner for Patents February 3, 2004 Page 2

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Edward J. Kessler Attorney for Applicants Registration No. 25,688

EJK/LAG/mhd

224832

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE der the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known TRANSMITTAL 09/440,468 **Application Number** Filing Date November 15, 1999 for FY 2004 FE First Named Inventor Paul Febvre Effective 10/01/2003. Patent fees are subject to annual revision. FEB 1 0 2004 **Examiner Name** Tu X. Nguyen Applicant claims small entity status. See 37 CFR 1.27 Art Unit 2684 echnology Cepter 2600 1487.0150000 TOTAL AMOUNT OF PAYMENT (\$) 180.00 Attorney Docket No. METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) Money X Other\*\* 3. ADDITIONAL FEES Check X Credit card Large Entity | Small Entity Charge any deficiencies or credit any overpayments in Deposit Account: the fees to Deposit Acci. No. 19-0036. =ee **Fee Description** Deposit Code (\$) Code Fee Paid Account 19-0036 1051 130 2051 65 Surcharge - late filing fee or oath Number Deposit Surcharge - late provisional filing fee or 1052 2052 25 50 Account Sterne Kessler, Goldstein & Fox P.L.L.C cover sheet Name 1053 130 1053 130 Non-English specification The Director is authorized to: (check all that apply) 1812 2,520 1812 2,520 For filing a request for ex parte reexamination X Charge fee(s) indicated below X Credit any overpayments Requesting publication of SIR prior to 1804 920 1804 920 Charge any additional fee(s) or any underpayment of fee(s) Examiner action Charge fee(s) indicated below, except for the filing fee 1805 1,840\* 1805 1,840\* Requesting publication of SIR after Examiner action to the above-identified deposit account. 1251 110 2251 Extension for reply within first month **FEE CALCULATION** 1252 420 2252 Extension for reply within second month 1. BASIC FILING FEE 950 2253 1253 Extension for reply within third month arge Entity Small Entity Fee Paid Fee Fee Code (\$) Fee Description 2254 1254 1.480 740 Extension for reply within fourth month Code (\$) 2255 1.005 Extension for reply within fifth month 1001 770 1255 2.010 2001 385 Utility filing fee 1401 330 2401 1002 340 2002 170 Design filing fee Notice of Appeal 1003 530 2003 1402 330 2402 165 Filing a brief in support of an appeal 265 Plant filing fee 1004 770 2004 385 Reissue filing fee 1403 290 2403 Request for oral hearing 1005 160 2005 80 Provisional filing fee 1451 1,510 1451 1,510 Petition to institute a public use proceeding 2452 Petition to revive - unavoidable 1452 110 SUBTOTAL (1) (\$) 1453 1.330 2453 665 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1,330 2501 665 Utility issue fee (or reissue) ee from Ext<u>ra Claim</u>s Fee Paid below 1502 480 2502 Design issue fee **Total Claims** Х 20 1503 2503 640 320 Plant issue fee Independent Х 1460 130 1460 130 Petitions to the Commissioner Multiple Dependent 1807 1807 50 Processing fee under 37 CFR 1.17(q) Large Entity Small Entity 180.00 1806 180 1806 Submission of Information Disclosure Stmt 180 Fee Fee Fee Fee Description Recording each patent assignment per Code (\$) Code (\$) 8021 40 8021 40 property (times number of properties) 1202 18 2202 Claims in excess of 20 1809 770 2809 385 Filing a submission after final rejection 1201 86 Independent claims in excess of 3 2201 43 (37 ČFR 1.129(a)) 1203 290 2203 145 Multiple dependent claim, if not paid 770 For each additional invention to be 1810 2810 385 examined (37 CFR 1.129(b)) 1204 86 \*\* Reissue independent claims 2204 43 over original patent 1801 770 2801 Request for Continued Examination (RCE) 385 \*\* Reissue claims in excess of 20 1802 Request for expedited examination 1205 18 2205 9 1802 900 and over original patent of a design application Other fee (specify) SUBTOTAL (2) \*Reduced by Basic Filing Fee Paid 180.00 \*\*or number previously paid, if greater; For Reissues, see above SUBTOTAL (3) SUBMITTED BY (Complete (if applicable)) Registration No. Name (Print/Type) Telephone (202) 371-2600 Edward J. Kessle 25.688 (Attomey/Agent) Signature Date O WARNING: Information on this form may become public. Credit card information should not

be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# 25 / Drung Cor 2/13604 a. 2.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Febvre et al.

Appl. No.: 09/440,468

Filed: November 15, 1999

For: Method and Apparatus for Timing Correction in Communications Systems

(as amended)

Confirmation No.: 3002

Art Unit: 2684

Examiner: Tu X Nguyen

Atty. Docket: 1487.0150000

## **Request to Approve Proposed Drawing Corrections**

Attn: Mail Stop Art Unit 2684

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

**RECEIVED** 

FEB 1 0 2004

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Attached is a copy of one replacement sheet of drawings, containing a proposed replacement for the drawing sheets containing Figures 9a, 9b, and 9c and Figures 10a, 10b, and 10c. Attached is a copy of Annotated Marked-Up Drawings indicating that both drawing sheets are to be canceled and replaced with the submitted replacement sheet. The proposed change adds no new matter to this application. Applicants request that the Examiner approve the proposed correction. After official communication of such approval, Applicants will make the appropriate correction to the formal drawings.

Respectfully submitted,

STERNE, KESSLER GOLDSTEIN & FOX P.L.L.C.

Edward J. Kessler
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Date:

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